

- This form can only be used for investment in the Aviva Investors Investment ISA for the 2010/2011 tax year only and must be received by us no later than 20 August 2010.
- Please answer all questions in CAPITAL LETTERS and tick boxes where appropriate.
- Please complete this form and return it together with your cheque to Aviva Investors UK Funds Limited, PO Box 10410, Chelmsford, CM99 2AY.
- This application form is to be used for investment via an ISA only. If you want to invest directly please see the direct investment application form.
- If you wish to pay with a Bank/Building Society cheque or Banker's Draft, the Society or Bank must endorse the cheque with the full name of the person whose account from which the funds are drawn. For example, the cheque should be made payable as follows: Aviva Investors UK Funds Limited i.r.o John Smith (include investors full name).
- Bank details in section 4 must be completed before the application can be processed.
- A copy of this completed application form and Aviva Investors Investment ISA Terms & Conditions are available on request.

1. Personal details

Surname Forename(s)

Address
Postcode

Date of birth National Insurance Number

This is a Government requirement and must be supplied. You should be able to find your National Insurance Number on a payslip, form P60, notice of coding or tax return. Otherwise your employer or tax office may be able to help. If you are in receipt of a state pension, your National Insurance Number may be shown on your bank statement next to any state pension payments you've received.

If you do not have a National Insurance Number, please tell us why in the space below.

Daytime telephone number

E-mail address

2. Payment

Please note that the minimum amount to invest in the Aviva Investors Defined Returns Fund 5 via the Aviva Investors Investment ISA is £500. ISA investors should make their lump sum cheque payable to Aviva Investors UK Funds Limited. **If you wish to pay with a Building Society cheque or Banker's Draft, the Society or Bank must endorse the cheque with the full name of the person whose account from which the funds are drawn.** For example, the cheque should be made payable as follows: Aviva Investors UK Funds Limited i.r.o. John Smith (include investor's full name).

3. Aviva Investors Investment ISA (Defined Returns Fund 5)

I wish to apply for the Aviva Investors Investment ISA (Defined Returns Fund 5). I wish to invest £ . Please note, that the minimum investment into the Aviva Investors Investment ISA is £500.

Your total ISA contributions must not exceed £10,200 for the 2010/11 tax year. £10,200 can be invested entirely in a Stocks & Shares ISA. Please see the Simplified Prospectus for full details of ISA subscription limits. Please note that the Aviva Investors Defined Returns Fund 5 has a fixed offer period which will end on 20 August 2010. It is not possible to invest in this Fund once the offer period has closed. However, there are other funds available in the Aviva Investors Investment ISA, so you will be able to invest further subscriptions into this ISA (through other funds) up to the maximum for this tax year, and make further subscriptions into the ISA in other funds in future tax years. Please contact us for further information regarding the other funds available in the Aviva Investors Investment ISA.

4. Your bank account details

Please complete your bank/building society details so your proceeds can be placed into your account upon maturity or should you decide not to stay in the Fund.

Name of bank/building society

Address
Postcode

Name of account holder

Account number Sort code Building Society Roll No (if applicable)

5. Data Protection

I consent to Aviva Investors using the information I supply to administer my investment and acknowledge that it may be processed by any company within the Aviva Group, by re-insurers or by third parties who provide services to Aviva Investors. It may be transferred to any country, including those outside the European Economic Area, for any of these purposes. Any information may be used for underwriting or claims handling purposes and disclosed in confidence to regulatory bodies, other insurance companies (directly or via shared databases), other Aviva Group

companies and your financial adviser (including third parties providing services to them).

In addition, Aviva Investors or, if applicable, the business partner that introduced you to the Company, may use some of your information to advise you by post, telephone or e-mail of other products and services offered by Aviva Group companies or of the business partner. If you do not wish to receive this material, please write to Aviva Investors, Mailing Exclusion Team, PO Box 6412, Derby DE1 1SB.

6. Prevention of Money Laundering

In accordance with EU and UK legislation relating to the prevention of money laundering we are obliged to verify the identity and address of all parties (e.g. planholder, premium payer, settlor, third parties including beneficial owners) to this contract. In the case of legal arrangements we are also required to establish the identity of any controllers that are not named parties as well as individuals who have a specified beneficial interest in the contract.

Where a financial adviser or Aviva Representative is involved they will let you know what evidence you need to produce. If you are applying to us

direct we will verify your identity with a third party identity verification company.

In certain circumstances you may be required to provide further evidence of your identity and confirmation of address, in which case Aviva Investors will contact you. If the product you are applying for allows payment by cheque and you wish to pay with a Building Society cheque or Banker's Draft, the Society or Bank must endorse the cheque with the full name of the person whose account from which funds are drawn.

7. Declaration

- I am 18 years of age or over.
- I have read the Simplified Prospectus and supporting documentation.
- I have read and accept the Data Protection statement.
- I confirm that no financial advice has been received in respect of this investment other than from the Financial Adviser, if any, named below.
- I understand that you may undertake a search with a third party company who provide identity verification services for the purposes of verifying my identity and the details I have submitted as part of this application under the Prevention of Money Laundering. To do so the third party company may check the details I supply against any particulars on any database (public or otherwise) to which they have access. They may also use my details in future to assist other companies for verification purposes. A record of the search will be retained.
- I apply to subscribe to the Aviva Investors Investment ISA for the tax year 2010/2011 and each subsequent year until further notice.
- All subscriptions made, and to be made, belong to me.
- I have not subscribed and will not subscribe more than the overall subscription limit (currently £10,200) in total to a Cash ISA and a Stocks & Shares ISA in the same tax year.
- I have not subscribed and will not subscribe to another Stocks & Shares ISA in the same tax year that I subscribe to this ISA.
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown Employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Aviva Investors UK Funds Limited if I cease to be resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I authorise Aviva Investors UK Funds Limited:
 - To hold my cash subscription, ISA investment, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
 - To make on my behalf any claims to relief from tax in respect of ISA investments.
- I have read and agree to the Aviva Investors Investment ISA Terms & Conditions.

Signature of applicant

Date

8. For financial adviser's use only

Signature of adviser

Date

Full name and address
of agent

FSA authorisation no./SIB no.

Agent no.

All details of commission waiver/rebate must be shown below.

I wish to waiver/rebate % of my commission to reduce the initial charge. Commission up to a maximum of 1.5% can be waived in multiples of 0.25%.

9. For Aviva employee applications only

Please enter your staff reference number for your application to be treated as a staff/family case.

Note, no commission is paid to advisers for investments with staff/family terms.

Employee name

Staff reference no.

Employee work email

Employee work phone number

Please confirm your relationship with staff member



Aviva Investors is a business name of Aviva Investors UK Funds Limited, the ISA Manager.
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