

# Junior Investment ISA

(stocks and shares) 2011/2012 Tax Year



powered by



The disclosure documentation applicable to this transaction is:

This application form is used to open a stocks and shares Junior Investment ISA with Cofunds and/or make subscriptions until the child is 18 years old.

The Junior Investment ISA allowance for all investors is £3,600 for the 2011/2012 tax year.

Please complete this Application Form using black ink and BLOCK CAPITALS and return to: Chelsea Financial Services PLC, St James' Hall, Moore Park Road, London SW6 2JS

## 1 Adviser Details (For adviser use only)

You will require a Cofunds authorisation code before being able to transact business. If you do not include this we will not be able to process this application.

Cofunds Intermediary Authorisation Code	<input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value="7"/> <input type="text" value="7"/>	Adviser Client/Deal Ref.	Marketing Code
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Did you receive advice from an adviser in relation to this investment? If you do not answer this question we will assume you received advice.  Advised  Not Advised

## 2 Child Details (Please complete this section in full)

Existing Cofunds Client Reference

I apply to open a Junior Investment ISA and/or make subscriptions for

Mr/Mrs/Ms/Miss/Master/Other
Surname
Full First Name(s)

Male  Female  Date of birth   
D D / M M / Y Y Y Y

Current Permanent Residential Address
Postcode

National Insurance Number   
(if held)

You should be able to find the child's NI number on a payslip, form P45 or P60, a letter from the HM Revenue & Customs, a letter from the DWP.

If the child does not have a National Insurance Number, please tick here.

## 3 Applicant Details – Registered Contact\* (Please complete this section in full)

Mr/Mrs/Ms/Miss/Other
Surname
Full First Name(s)

\*The Registered Contact is a person with parental responsibility, or the child aged 16-18, if they so wish.

Current Permanent Residential Address
Postcode
Daytime Telephone No.

## 4 Funding Your Investment

I will be funding my investment by (tick all that apply):

Cheque  Amount  Monthly Direct Debit (please ensure that the payer completes the 'Investment by Direct Debit on page 3).

Please note that the amount subscribed is a gift to the child and cannot be repaid to the subscriber if at a later date they change their mind.

## 5 Third Party Details (Please complete if relevant)

Please complete this section if you are not the child or Registered Contact but are funding the Junior Investment ISA investment.

Mr/Mrs/Ms/Miss/Other
Surname
Full First Name(s)

Current Permanent Residential Address
Postcode
Daytime Telephone No.

## 6 Investment Selection

I wish to invest in the funds indicated (for further details of the funds available, please refer to the Fund Key Features.) Please ensure the funds are available through Cofunds. Please note: subscriptions in each tax year of your Junior Investment ISA, in conjunction with subscriptions to a cash Junior Investment ISA (if held), must not exceed the child's JISA allowance. For details, please refer to the Key Features of the Cofunds Junior Investment ISA.

NOTE: ALL INCOME MUST BE RETAINED IN THE FUND

Your investment will be made in the Retail Share Class.

Fund Manager and Fund Name	Type of Unit/Share (delete as appropriate)*	Lump Sum	Monthly amount per fund
The Chelsea Junior Easy ISA <input type="checkbox"/> Cautious Portfolio <input type="checkbox"/> Balanced Portfolio <input type="checkbox"/> Aggressive Portfolio (tick one option only)	ACC/INC	£	£
	ACC/INC	£	£
	ACC/INC	£	£
<b>TOTAL INVESTMENT AMOUNT</b>		£	£

(See overleaf for notes on this section)

## 6 Investment Selection (continued)

### \*ACC/INC

If you do not specify ACC or INC in this column. Cofunds will invest into accumulation units/shares where available. If you have chosen income units/shares the income generated will be reinvested. We cannot pay the income out.

### \*\*Commission

The initial commission available depends on the fund selected. Please refer to My Trading Terms for details of rates and funds available through Cofunds. Please state the amount of commission you wish to waive or the percentage of commission you wish to take. You can only choose one option. Commission waivers should be entered for each fund. Please note, if you wish to waive all commission please tick the 'ALL' box. Please specify a particular percentage amount in the last column. If you choose to take commission it must be for all of the investments, as a specific percentage.

Any entries, other than those detailed above, will result in investments being made at your default commission terms.

### Your cheque payment

Cheques must either be drawn on a UK bank account in Sterling. The cheque must be made payable to Cofunds Limited. For a Building Society cheque or banker's draft the name of the child must appear on the front of the cheque, or on the back of the cheque accompanied by the Building Society's or bank's official stamp and signature. We do not accept payment by any other method.

### Your monthly savings

For monthly savings we will automatically collect on or just after the 25th day of each month. For applications received up until the last day in any month, the first Direct Debit collection will be made on or just after the 25th day of the following month.

## 7 Declaration and Authorisation

### I declare that:

The child named above will be the beneficial owner of the account investments.

- I am aged 16 years or over.
- I am the child/I have parental responsibility for that child (delete which does not apply).
- I/the child does not have a Child Trust Fund account.
- I will be the registered contact for the Junior Investment ISA.
- The child is resident and ordinarily resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a civil partnership with a UK Crown servant.
- I have not subscribed and will not subscribe to another stocks and shares Junior ISA for this child.
- I am not aware that this child has another stocks and shares Junior ISA.
- I am not aware of other Junior ISA subscriptions that will result in this child exceeding the annual limit.
- I will not knowingly make subscriptions to Junior ISAs for this child that will result in the subscription limit being exceeded.

### I authorise Cofunds Limited to:

- I agree to the Junior Investment ISA Terms & Conditions and confirm that to the best of my belief the information in this form is true.
- to make on the child's behalf any claims to relief tax in respect of Junior Investment ISA investments.

I agree to the Junior Investment ISA terms and conditions and confirm that to the best of my belief the information on this form is true.

### I confirm that:

- I have been provided with the Terms & Conditions of the Junior Investment ISA and by signing this application form I agree to be bound by them.
- I understand that the Terms & Conditions of the Junior Investment ISA are the standard terms upon which Cofunds intends to rely, and it is important that I read and understand the terms before agreeing to be bound by them. If there is any term or point I do not understand or do not wish to be bound by, I understand that I can request further information before signing this application.
- I understand that my signed application form (once accepted by Cofunds) together with the Terms & Conditions of the Cofunds Platform form my customer agreement with Cofunds Ltd.

- I understand that the commencement of my Junior Investment ISA may be delayed or rejected if this application form is not complete in all respects. You may undertake a search with a reference agency for the purposes of verifying my identity. To do so, the reference agency may check the details I supply against any particulars on any database (public or otherwise) to which they have access. They may also use my details in the future to assist other companies for verification purposes. A record of the search will be retained as an identity search. I declare that the information contained in this application form is correct to the best of my knowledge and belief.

Signature  
(Registered Contact)

X

Date

### Data Protection

Cofunds Limited will use your information for the administration and servicing of your investments and other related activities. We may disclose your information to our agents and service providers for these purposes. We may also disclose your information to organisations for compliance with legal and regulatory requirements.

With the exception of the preceding provisions, we will not pass on your information to any other third party without your permission, but we will disclose information concerning your investment to your Nominated Adviser.

Cofunds may transfer your information to countries outside of the EEA for the servicing of your investments. In such cases, contracts will be put in place to ensure that the service providers protect your information in accordance with the requirements of the Data Protection Act.

If you require a fund prospectus, please contact your adviser or fund manager directly.

If you wish to attend/vote at unit holder or shareholder meetings, please tick this box.

If you wish to receive reports and accounts, you can download them free of charge at [https://investors.cofunds.co.uk/Investors/Reports\\_and\\_Accounts\\_Investors.aspx](https://investors.cofunds.co.uk/Investors/Reports_and_Accounts_Investors.aspx).

If you wish to receive paper copies of reports and accounts please speak to your adviser.

You can also request to receive paper copies of reports and accounts by writing to us at Cofunds Limited, PO Box 1103, Chelmsford CM99 2XY.

We reserve the right to apply a £20 administration charge per fund for each request to attend/vote at unit holder and shareholder meetings, and for each request to receive paper copies.

Please note that the amount subscribed is a gift to the child and cannot be repaid to the subscriber if at a later date they change their mind.

## Investment by Direct Debit



### Instruction to your Bank or Building Society to pay Direct Debits



Please fill in the whole form and send it to:

Cofunds Limited, PO Box 1103, Chelmsford CM99 2XY.

Name and full postal address of your Bank or Building Society

To the Manager	Bank or Building Society
Address	
Postcode	

Name(s) of Account Holder(s)

Bank/Building Society Account Number

Branch Sort Code

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Banks and Building societies may not accept Direct Debit instructions from some types of account.

Service User No.

     

Reference Number

For Cofunds LTD official use only

This is not part of the instruction to your bank or building society.

### Instruction to your Bank or Building Society

Please pay Cofunds Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Cofunds Limited and, if so, details will be passed on electronically to my Bank/Building Society.

Signature

Date

This Guarantee should be detached and retained by the payer.

### The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Cofunds Ltd will notify you 5 working days in advance of your account being debited or as otherwise agreed. If you request Cofunds Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by Cofunds Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
  - If you receive a refund you are not entitled to, you must pay it back when Cofunds Ltd asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

Issued and approved by Cofunds Limited, 1st Floor, 1 Minster Court, Mincing Lane, London EC3R 7AA.

Registered in England and Wales No. 3965289. Authorised and regulated by the Financial Services Authority (FSA) under FSA Registration No. 194734.

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