

# THE DEFINED RETURNS PLAN – ISSUE Z8

## Annual Kick-out option

Application form for a direct investment, 2008/09 Stocks and Shares ISA and ISA Transfers

Offer closes 30 September 2008 (17 September 2008 for ISA transfers)

**Please complete clearly in BLOCK capitals using a black ballpoint pen. This will avoid delays in processing your application.**

Please return your completed application form to: Woolwich Plan Managers, PO Box 9283, Brentwood, Essex CM14 9AQ

### Part 1 – Personal details

#### Single applicant

Title Mr  Mrs  Miss  Ms  Dr

Other title  Male  Female

First name(s)

Surname

Permanent residential address

Postcode

Date of birth   /   /

Citizenship/nationality

Country of permanent residence

Contact  International code  Area code  Telephone number

Email

National Insurance (N.I.) number\*

\*You should be able to find your N.I. number on a payslip, P45 or P60, a letter from HM Revenue & Customs, a letter from the DWP (formerly DSS) or a pension order book. Please note that if you do not provide us with a National Insurance number, we may, at our discretion, provisionally open the account, but you will have 30 days to supply any missing information.

Are you acting on the behalf of the investor under a legally appointed authority?

Evidence certified as a true copy by a Lawyer, Doctor, Accountant, Police Officer, Bank Manager, Justice of the Peace or other suitable professional of your legal appointment to act on behalf of the investor will need to be supplied.

#### Joint applicant\*\* (if applicable)

Title Mr  Mrs  Miss  Ms  Dr

Other title  Male  Female

First name(s)

Surname

Permanent residential address

Postcode

Date of birth   /   /

Citizenship/nationality

Country of permanent residence

Contact  International code  Area code  Telephone number

Email

\*\*Only available as an option if application is outside of an ISA.

## Part 2 – Investment details

Please select the way(s) and amount(s) in which you would like to invest in the Plan.

2.1 Direct (outside an ISA) investment

I/We apply to subscribe the following amount as a Direct Investment into the Annual Kick-out option

£  (minimum £3,600)

2.2 Stocks and Shares ISA for 2008/2009

I apply to subscribe the following amount into the Annual Kick-out option

(minimum £3,600 maximum £7,200)

**I understand that I cannot open another Stocks and Shares ISA in this tax year.**

2.3 Total investment £  (sum of 2.1 and 2.2)

## Part 3 – Your Declaration

Applicable to all applicants

1. By signing this section, you agree/confirm/understand and declare that:

- a. you have read, understood and agreed to be bound by this declaration, the information supporting this application form, the brochure relating to Defined Returns Plan Annual Kick-out option – Issue Z8 and the Terms and Conditions;
- b. you are 18 years of age or over;
- c. you are not, and are not acting on behalf of, a resident of the United States and you will inform us immediately should you become a resident of the United States;
- d. Woolwich Plan Managers Limited and members of the Barclays Group may:
  - i. make/perform credit reference, identity, electoral register, fraud, money laundering, and other enquiries/searches in respect of you;
  - ii. disclose your full account information to credit reference agencies, fraud prevention agencies and insurance companies. Credit reference agencies will maintain a record of our searches and the information that we provide them. If you give us false or inaccurate information, or we suspect fraud, we will record this with fraud prevention agencies. Such records and information may then be used by members of the Barclays Group and third parties: to make decisions on you or other members of your household on credit, motor, household, life and other insurance facilities (including handling any claims); for debt tracing; and to prevent fraud and money laundering. Records held by credit reference agencies may be linked to records about persons financially associated with you, which may be taken into account when assessing your application;
  - iii. in order to make payments from your account(s), send the details of any such payment (including information relating to those involved in the payment) abroad, where it may be accessible by overseas regulators and other authorities in connection with their legitimate duties (e.g. the prevention of crime);
  - iv. collect and use information about you as set out in clause 28 of the Terms and Conditions, including: collecting and using information about how you use and manage your accounts (e.g. transactions made); informing you about products/services, including those of third parties; transferring your information within the Barclays Group or to service providers located in the UK or overseas; and using information relating to your medical, health, lifestyle, and ethnic background, and criminal offences (alleged or otherwise), for the purposes of administration and product/service identification;
  - v. relevant only if there is more than one applicant: update records held by members of the Barclays Group or third parties on any applicant(s), using information given at any time by any of the other applicant(s); and make/perform the enquiries and searches in point (i) of this paragraph (d) on any applicant(s) if any of the other applicant(s) at any time request a loan or increased lending;
- e. that under data protection legislation, you can request certain information about you by writing to us. A fee may be charged for this service, as permitted by appropriate law or regulation;
- f. that we may record and monitor telephone calls, for your protection and ours, to check instructions and to ensure that we are meeting our service standards; and

2. I/We authorise Woolwich Plan Managers Limited: to hold my/our cash subscription, investments, ISA investments (as appropriate), interest, dividends and other rights or proceeds in respect of those investments and any cash or other proceeds. I/We declare that the information on this application has been completed to the best of my/our knowledge and belief and I/We will inform Woolwich Plan Managers Limited without delay of any changes in the information given.

3. Where an application is made on the behalf of the Investor:

I [the undersigned] hereby agree to the above and declare that I am the legally appointed representative of the Investor by authority granted to me by:

(please tick as appropriate)

- Guardianship;
- Power of Attorney
- Continuing Power of Attorney
- Enduring/lasting Power of Attorney
- Appointment under Court Order

**Applicable to all ISA applicants**

1. All subscriptions and Transfers made or to be made belong to me; and
2. I am applying for a Stocks and Shares ISA as detailed in Part 2. I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA and I have not and will not subscribe any more than £7,200 in total to a cash ISA and a Stocks and Shares ISA in the same tax year; and
3. I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Woolwich Plan Managers Limited if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties; and
4. I authorise Woolwich Plan Managers Limited to make on my behalf, any claims to relief from tax in respect of ISA investments.

**Single applicant or Authorised Representative signature**

Name

Date   /   /

**Joint applicant signature (if applicable)**

Name

Date   /   /

From time to time, we and other members of the Barclays Group would like to keep you up-to-date about products and services which we think you will find useful. As we are concerned about the environment, our aim is to use email instead of mail whenever practical.

Please tick the following box if you would prefer not to benefit from these communications:

To receive details of those fraud prevention agencies from whom we obtain and with whom we record information about you, contact the Barclays Information Line on 0800 400 100. If calling from outside the UK, please call +44 (0)247 6842 100. Lines are open 7:00 am to 11:00 pm (GMT). Calls may be recorded so that we can monitor the quality of our service and for security purposes.

**Money laundering regulations**

Under the regulations, there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity. This will normally be a passport or similar form of identity check together with proof of address from a gas bill, electricity bill or similar.

<b>Adviser details</b>	
Company name	<input type="text"/>
FSA number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Adviser name	<input type="text"/>
	<input type="text"/>
Agent reference	<input type="text"/>
FSA number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Telephone	<input type="text"/>
Commission given up	<input type="text"/> %
Money laundering certificate (or equivalent) attached	<input type="checkbox"/>

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## Annual Kick-out option

### Part 4 – ISA transfer instruction to your current ISA manager

(Not available to joint account applicants)

Title Mr  Mrs  Miss  Ms  Dr

Other title  Male  Female

First name(s)

Surname

Postcode

Account Manager

ISA account number

Address of your current ISA manager

Postcode

Please note that with effect from 6 April 2008 PEPs have been reclassified as ISAs.

Please check with your existing provider to see if there are any penalties for or restrictions on transfers. All transfers we receive will be classified as Stocks and Shares ISAs. If you transfer from a Cash ISA you will not be able to transfer back to a Cash ISA at a later date.

Please complete A and /or B as required.

A. Do you wish to transfer your 2008/2009 ISA?

Yes  No

If yes, approximate transfer value £

B. ISAs from previous years to be transferred?

Yes  No

% (max 100%) which equals approximately £

The aggregate minimum total transfer value is £3,600.

I request you to sell the appropriate investments held in my account, as detailed above, and send a cheque(s) for the proceeds to: **Woolwich Plan Managers, PO Box 9283, Brentwood, Essex CM14 9AQ.** Only action my request to transfer if proceeds will be sent by **13 October 2008.** Cheques should be made payable to 'Barclays Investment Plans'.

Signature

Date

/   /

### Part 5 – Payment details

Name of person(s) providing funds  (must be completed)

Payment by cheque/cheques

I enclose a cheque for £  (from 2.3, made payable to 'Barclays Investment Plans')

ManCo 12

Or, payment from a Barclays current account

Account holder name

Bank

Branch sort code  -   -   Account number

Branch address

Postcode

Amount £  (from 2.3)

ManCo 12



This item can be provided in Braille, large print or audio by calling 0800 400 100\* (via TextDirect if appropriate).

\*Lines are open 8am to 8pm UK time Monday to Friday and 9am to 5pm UK time Saturday and UK bank holidays. Calls to 0800 numbers are free if made from a UK landline. Other call costs may vary – please check with your telecoms provider.

Calls may be recorded so that we can monitor the quality of our service and for security purposes.

Under Data Protection legislation you have a right of access to certain personal records. Should you wish to exercise this right, please write to your account-holding centre. A fee will be charged for this service.

Woolwich Plan Managers Limited is authorised and regulated by The Financial Services Authority, FSA Number: 183887. Woolwich Plan Managers is registered in England, Registered Number 3230386. Registered Office: 1 Churchill Place, London E14 5HP. Correspondence address: PO Box 9283, Brentwood, Essex CM14 9AQ.

Item Ref: 9909102, July 2008